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September 1, 2004

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Art Unit 2681

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 10/706,285; Filed: November 13, 2003

For: Apparatus And Method For Latency
Control In A Communications System

Inventors:

GREENFIELD et al.

Our Ref:

1875.3700001

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Form PTO-1449 listing and accompanied by one (1) document; and
- 3. One return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE KESSLER, GOLDSTEIN & FOX P.L.L.C.

Evan R. Smith

Attorney for Applicants Registration No. 35,683

ERS/sjc

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GREENFIELD et al.

Appl. No.: 10/706,285

Filed: November 13, 2003

For: Apparatus And Method For

Latency Control In A
Communications System

Confirmation No.: 5666

Art Unit: 2681

Examiner: (to be assigned)

Atty. Docket: 1875.3700001

Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent

application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
 - a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first

cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- c. Attached is our PTO-2038 Credit Card Payment Form in the amount of ______ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.
 Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$______ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
 - ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

| | □ b. | Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of | | | | | |
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| | | information in this Information Disclosure Statement was cited in a | | | | | |
| | | communication from a foreign patent office in a counterpart foreign | | | | | |
| | | application and, to my knowledge after making reasonable inquiry, was | | | | | |
| | | known to any individual designated in 37 C.F.R. § 1.56(c) more than | | | | | |
| | | three months prior to the filing of this Information Disclosure Statement. | | | | | |
| | | 37 C.F.R. § 1.97(e)(2). | | | | | |
| <u></u> | The do | ocument(s) was/were cited in a search report by a foreign patent office in a | | | | | |
| | counterpart foreign application. Submission of an English language version o | | | | | | |
| | the sea | arch report that indicates the degree of relevance found by the foreign office | | | | | |
| | is pro | wided in satisfaction of the requirement for a concise explanation of | | | | | |
| | releva | nce. 1138 OG 37, 38. | | | | | |
| <u> </u> | A con | cise explanation of the relevance of the non-English language document(s) | | | | | |
| | appear | rs below: | | | | | |
| ⊠ 7. | Copie | s of the documents are submitted herewith. | | | | | |
| 8. | Copie | s of the documents were cited by or submitted to the Office in an IDS that | | | | | |
| | compl | ies with 37 C.F.R. § 1.98(a)-(c) in Application No, filed | | | | | |
| | | , which is relied upon for an earlier filing date under 35 U.S.C. | | | | | |
| | § 120. | Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d). | | | | | |
| 9. | No co | opies of U.S. patents and patent application publications cited on the | | | | | |
| | attach | ed Form PTO-1449 are submitted in accordance with 1276 OG 55 because | | | | | |
| | this ap | oplication was filed after June 30, 2003. | | | | | |

- 5 -

GREENFIELD et al. Appl. No. 10/706,285

10. It is expected that the examiner will review the prosecution and cited art in the parent application no(s). _____ in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Evan R. Smith

Attorney for Applicants Registration No. 35,683

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| SEP 0 1 20 | es a | | | ATTY. DOCKET NO. 1875.3700001 | | Page 1 of 1 APPLICATION NO. 10/706,285 | | |
| Fi SEB 11 12 | OFFICE TO | ORM PTO-1449 | | FIRST NAMED INVENTOR Richard Greenfield | | | | |
| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT U.S. P. | | | | FILING DATE | FILING DATE ART UNIT | | | |
| - CO TUNEYE | <u> </u> | | Ú.S. I | November 13, 2003 PATENT DOCUMENTS | | 81 | · · · · · · · · · · · · · · · · · · · | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE | |
| | AA1 | 10/880,769 | 06/2004 | Greenfield et al. | | 100000 | | |
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| | AL1 | | | | | | No | |
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| | AO1 | | | | | | Yes No | |
| | AP1 | | | | | | Yes No | |
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| and not conside | ered. Inclu | de copy of this form | with next commun | nication to Applicant. | | | | |